

23 Rec'd PCT/PTO 24 DEC 1998

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER  
P63221US0

US APPLICATION NO. (If Applicable, see 37 CFR 1.5)

109/147443

INTERNATIONAL APPLICATION NO.  
PCT/EP97/03253

INTERNATIONAL FILING DATE  
20 June 1997

PRIORITY DATE CLAIMED  
24 June 1996

TITLE OF INVENTION  
POLYPEPTIDES CAPABLE OF FORMING ANTIGEN BINDING STRUCTURES WITH SPECIFICITY FOR THE RHESUS D ANTIGENS, THE DNA ENCODING THEM AND THE PROCESS FOR THEIR PREPARATION AND USE

APPLICANT(S) FOR DO/EO/US

Sylvia MIESCHER, Monique VOGEL, Beda STADLER, Andreas MORELL, Martin IMBODEN, Hanspeter AMSTUTZ

Applicant herein submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ A translation of the annexes to the International Preliminary Examination report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

International Search Report (EPO)

PCT Request Form

First Page of Publication

International Preliminary Examination Report (IPER) (w/Annexes)

Sequence Listing

PCT/EP97/03253

P63221US0

17. ☒ The following fees are submitted:**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

International preliminary examination fee paid to USPTO (37 CFR 1.492 (a) (1))  
 ..... \$670.00

No international preliminary examination fee paid to USPTO (37 CFR 1.492 (a) (2))  
 but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$760.00

Neither international preliminary examination fee (37 CFR 1.492 (a) (3)) nor  
 international search fee (37 CFR 1.445(a)(2)) paid to USPTO) ..... \$970.00

International preliminary examination fee paid to USPTO (37 CFR 1.492 (a) (4))  
 and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$96.00

Search Report prepared by the EPO or JPO (37 CFR 1.492 (a) (5)) ... \$840.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30  
 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

Claims	Number Filed	Number Extra	Rate
Total Claims	20 -20 =	-0-	X \$18.00
Independent Claims	5 -3 =	-2-	X \$78.00
Multiple dependent claim(s) (if applicable)			+ \$260.00

\$ 156.00

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$ 1126.00

Reduction by 1/2 for filing by small entity, if applicable.

Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

\$

**SUBTOTAL =**

\$ 1126.00

Processing fee of \$130 for furnishing the English translation later the ☐ 20 ☐ 30  
 months from the earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE =**

\$ 1126.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00

\$

**TOTAL FEES ENCLOSED =**

\$ 1126.00

Amount to be  
refunded:

\$


charged:

\$

- a. ☒ A check in the amount of \$ 1126.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 06-1358 in the amount of \$ --- to cover the above fees.  
 A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge my account any additional fees set forth in  
 \$1.492 during the pendency of this application, or credit any overpayment to Deposit Account No.  
06-1358. A duplicate copy of this sheet is enclosed.

SEND ALL CORRESPONDENCE TO:  
**Jacobson, Price, Holman & Stern, PLLC**  
 400 7th Street, N.W.  
 Suite 600  
 Washington, DC 20004  
 202-638-6666

By

  
 John C. Holman  
 Reg. No. 22,769